

1. An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used, or intends to use, no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.

On April 13th, 2020 Advance Beauty College acknowledges that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used, or intends to use, no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.

Recipient’s Funding Certification and Agreement
Emergency Financial Aid Grants to Students under the Coronavirus Aid, Relief, and
Economic Security (CARES) Act

Section 18004(a)(1) of the CARES Act, Pub. L. No. 116-136 (March 27, 2020), authorizes the Secretary of Education (“Secretary”) to allocate formula grant funds in the amount of \$ 229,248 to Advance Beauty College (“Recipient”).

Section 18004(c) of the CARES Act requires Recipient to use no less than fifty percent of the funds received to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus (including eligible expenses under a student’s cost of attendance such as food, housing, course materials, technology, health care, and child care). This Certification and Agreement solely concerns the emergency financial aid grants to students under Section 18004(c) of the CARES Act.

To address the pressing financial need of students due to the disruption of campus operations from coronavirus, and pursuant to the authority duly delegated to the Secretary under the CARES Act and associated with the coronavirus emergency, as stated in Proclamation 9994 of March 13, 2020, “Declaring a National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak,” *Federal Register* Vol. 85, No. 53 at 15337-38, the Secretary and Recipient agree as follows:

1. The Secretary will provide Recipient fifty (50) percent of its formula grant funds (the “advanced funds”) for the sole and exclusive purpose of providing emergency financial aid grants to students for their expenses related to the disruption of campus operations due to coronavirus, such as food, housing, course materials, technology, health care, and child-care expenses.

2. Recipient agrees to promptly make available emergency financial aid grants from the advanced funds directly to students for their expenses related to the disruption of campus operations due to coronavirus, such as food, housing, course materials, technology, health care, and child-care expenses. Recipient shall not use the advanced funds to reimburse itself for any costs or expenses, including but not limited to any costs associated with significant changes to the delivery of instruction due to the coronavirus and/or any refunds or other benefits that Recipient previously issued to students.

3. Recipient retains discretion to determine the amount of each individual emergency financial aid grant consistent with all applicable laws including non-discrimination laws. Recipient acknowledges that the Secretary recommends the maximum Federal Pell Grant for the applicable award year as an appropriate maximum amount for a student’s emergency financial aid grant in most cases, and the Recipient should be mindful of each student’s particular socioeconomic circumstances in the staging and administration of these grants. The Secretary strongly encourages Recipient’s financial aid administrator to exercise the use of professional judgment available under Section 479A of the Higher Education Act of 1965 (HEA), 20 U.S.C § 1087tt, to make adjustments on a case-by-case basis to exclude individual emergency financial aid grants from the calculation of a student’s expected family contribution. The Secretary does not consider these individual emergency financial aid grants to constitute Federal financial aid under Title IV of the HEA.

4. In consideration for the advanced funds and as conditions for their receipt, Recipient warrants, acknowledges, and agrees that:

(a) The advanced funds shall not be used for any purpose other than the direct payment of grants to students for their expenses related to the disruption of campus operations due to coronavirus, such as food, housing, course materials, technology, health care, and child-care;

(b) Recipient holds those funds in trust for students and acts in the nature of a fiduciary with respect thereto;

(c) Recipient shall promptly comply with Section 18004(e) of the CARES Act and (i) report to the Secretary thirty (30) days from the date of this Certification and Agreement and every forty-five (45) days thereafter in accordance with 2 CFR 200.333 through 2 CFR 200.337, or in such other additional form as the Secretary may specify, how grants were distributed to students, the amount of each grant awarded to each student, how the amount of each grant was calculated, and any instructions or directions given to students about the grants; and (ii) document that Recipient has continued to pay all of its employees and contractors during the period of any disruptions or closures to the greatest extent practicable, explaining in detail all specific actions and decisions related thereto, in compliance with Section 18006 of the CARES Act;

(d) Recipient shall comply with all requirements in Attachment A to this Certification and Agreement;

(e) Recipient shall promptly and to the greatest extent practicable distribute all the advanced funds in the form of emergency financial aid grants to students by one year from the date of this Certification and Agreement, and document its efforts to do so as part of the report specified in subsection (c) above;

(f) Recipient shall cooperate with any examination of records with respect to the advanced funds by making records and authorized individuals available when requested, whether by (i) the U.S. Department of Education and/or its Inspector General; or (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority; and

(g) failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CARES Act or any other applicable law may result in Recipient's liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in Attachment A, which is incorporated by reference hereto.

RECIPIENT or Authorized Representative of Recipient	<u>Tam Nguyen</u>
OPEID Number	<u>03736300</u>
DATE	<u>4/13/2020</u>

Attachment A to Recipient's CARES Funding Certification and Agreement

The Recipient assures and certifies the following:

- Recipient will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; Recipient will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and Recipient will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Recipient will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1801-0005. The time required to complete this information collection is estimated to be 2,853 total burden hours. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: Hilary Malawer, 400 Maryland Avenue, SW. Washington, D.C. 20202.

2. The total amount of funds that the institution will receive or has received from the Department pursuant to the institution's Certification and Agreement [for] Emergency Financial Aid Grants to Students.

Pursuant to the institution's Certification and Agreement [for] Emergency Financial Aid Grants to Students Advance Beauty College received on May 4th, 2020 acknowledgement that it qualifies for \$229,248

3. The total amount of Emergency Financial Aid Grants distributed to students under Section 18004(a)(1) of the CARES Act as of the date of submission (i.e., as of the 30-day Report and every 45 days thereafter).

As of May 19th, 2020, the total amount of Emergency Financial Aid Grants distributed to students totals \$131,600.

As of October 15th, 2020, the total amount of Emergency Financial Aid Grants distributed to student totals \$170,800.

Higher Education Emergency Relief Fund Disbursements from 03-15-2020 through 10-15-2020

ADVANCE BEAUTY COLLEGE, INC. - 00280

Student Key	Date	ToStudent	
12841	05/19/2020	\$	700.00
12595	05/19/2020	\$	700.00
13018	05/19/2020	\$	700.00
12666	05/19/2020	\$	700.00
13096	05/19/2020	\$	700.00
12835	05/19/2020	\$	700.00
11995	05/19/2020	\$	700.00
12418	05/19/2020	\$	700.00
12474	05/19/2020	\$	700.00
12789	05/19/2020	\$	700.00
12811	05/19/2020	\$	700.00
11955	05/19/2020	\$	700.00
9988	05/19/2020	\$	700.00
11018	05/19/2020	\$	700.00
12878	05/19/2020	\$	700.00
12090	05/19/2020	\$	700.00
12592	05/19/2020	\$	700.00
12786	05/19/2020	\$	700.00
12690	05/19/2020	\$	700.00
12809	05/19/2020	\$	700.00
12639	05/19/2020	\$	700.00
13064	05/19/2020	\$	700.00
12672	05/19/2020	\$	700.00
13000	05/19/2020	\$	700.00
12543	05/19/2020	\$	700.00
12733	05/19/2020	\$	700.00
12808	05/19/2020	\$	700.00
12712	05/19/2020	\$	700.00
12665	05/19/2020	\$	700.00
12838	05/19/2020	\$	700.00
12821	05/19/2020	\$	700.00
13030	05/19/2020	\$	700.00
12952	05/19/2020	\$	700.00
12709	05/19/2020	\$	700.00
12910	05/19/2020	\$	700.00
12971	05/19/2020	\$	700.00
12335	05/19/2020	\$	700.00
12840	05/19/2020	\$	700.00
12233	05/19/2020	\$	700.00
13011	05/19/2020	\$	700.00
12557	05/19/2020	\$	700.00
12101	05/19/2020	\$	700.00
13028	05/19/2020	\$	700.00
7245	05/19/2020	\$	700.00

12877	05/19/2020	\$	700.00
12961	05/19/2020	\$	700.00
12926	05/19/2020	\$	700.00
12711	05/19/2020	\$	700.00
12975	05/19/2020	\$	700.00
12951	05/19/2020	\$	700.00
12831	05/19/2020	\$	700.00
11009	05/19/2020	\$	700.00
12425	05/19/2020	\$	700.00
12972	05/19/2020	\$	700.00
12602	05/19/2020	\$	700.00
10736	05/19/2020	\$	700.00
12646	05/19/2020	\$	700.00
13008	05/19/2020	\$	700.00
12631	05/19/2020	\$	700.00
11676	05/19/2020	\$	700.00
12933	05/19/2020	\$	700.00
12024	05/19/2020	\$	700.00
12616	05/19/2020	\$	700.00
12832	05/19/2020	\$	700.00
12662	05/19/2020	\$	700.00
12669	05/19/2020	\$	700.00
12807	05/19/2020	\$	700.00
12784	05/19/2020	\$	700.00
12608	05/19/2020	\$	700.00
12726	05/19/2020	\$	700.00
12716	05/19/2020	\$	700.00
12904	05/19/2020	\$	700.00
12368	05/19/2020	\$	700.00
12397	05/19/2020	\$	700.00
12805	05/19/2020	\$	700.00
12544	05/19/2020	\$	700.00
12854	05/19/2020	\$	700.00
12389	05/19/2020	\$	700.00
12691	05/19/2020	\$	700.00
12767	05/19/2020	\$	700.00
12504	05/19/2020	\$	700.00
12799	05/19/2020	\$	700.00
12473	05/19/2020	\$	700.00
12783	05/19/2020	\$	700.00
12940	05/19/2020	\$	700.00
12486	05/19/2020	\$	700.00
4023	05/19/2020	\$	700.00
11827	05/19/2020	\$	700.00
12670	05/19/2020	\$	700.00
12511	05/19/2020	\$	700.00
12648	05/19/2020	\$	700.00

12824	05/19/2020	\$	700.00
12890	05/19/2020	\$	700.00
12384	05/19/2020	\$	700.00
12839	05/19/2020	\$	700.00
12973	05/19/2020	\$	700.00
12817	05/19/2020	\$	700.00
12866	05/19/2020	\$	700.00
12820	05/19/2020	\$	700.00
12692	05/19/2020	\$	700.00
11831	05/19/2020	\$	700.00
12872	05/19/2020	\$	700.00
12837	05/19/2020	\$	700.00
12373	05/19/2020	\$	700.00
12642	05/19/2020	\$	700.00
12583	05/19/2020	\$	700.00
12898	05/19/2020	\$	700.00
11685	05/19/2020	\$	700.00
12062	05/19/2020	\$	700.00
13026	05/19/2020	\$	700.00
12865	05/19/2020	\$	700.00
13006	05/19/2020	\$	700.00
12970	05/19/2020	\$	700.00
13079	05/19/2020	\$	700.00
12990	05/19/2020	\$	700.00
12734	05/19/2020	\$	700.00
12889	05/19/2020	\$	700.00
12707	05/19/2020	\$	700.00
11196	05/19/2020	\$	700.00
12930	05/19/2020	\$	700.00
12394	05/19/2020	\$	700.00
12598	05/19/2020	\$	700.00
11871	05/19/2020	\$	700.00
12576	05/19/2020	\$	700.00
12830	05/19/2020	\$	700.00
12969	05/19/2020	\$	700.00
12983	05/19/2020	\$	700.00
12982	05/19/2020	\$	700.00
12753	05/19/2020	\$	700.00
12611	05/19/2020	\$	700.00
10708	05/19/2020	\$	700.00
12978	05/19/2020	\$	700.00
5026	05/19/2020	\$	700.00
13065	05/19/2020	\$	700.00
12855	05/19/2020	\$	700.00
12931	05/19/2020	\$	700.00
13029	05/19/2020	\$	700.00
12955	05/19/2020	\$	700.00

12617	05/19/2020	\$	700.00
13036	05/19/2020	\$	700.00
12447	05/19/2020	\$	700.00
12977	05/19/2020	\$	700.00
12909	05/19/2020	\$	700.00
11083	05/19/2020	\$	700.00
12630	05/19/2020	\$	700.00
11931	05/19/2020	\$	700.00
13004	05/19/2020	\$	700.00
11262	05/19/2020	\$	700.00
12750	05/19/2020	\$	700.00
12638	05/19/2020	\$	700.00
11045	05/19/2020	\$	700.00
12774	05/19/2020	\$	700.00
12499	05/19/2020	\$	700.00
12423	05/19/2020	\$	700.00
11765	05/19/2020	\$	700.00
12348	05/19/2020	\$	700.00
12722	05/19/2020	\$	700.00
12643	05/19/2020	\$	700.00
12766	05/19/2020	\$	700.00
12912	05/19/2020	\$	700.00
12359	05/19/2020	\$	700.00
12992	05/19/2020	\$	700.00
12823	05/19/2020	\$	700.00
12685	05/19/2020	\$	700.00
12528	05/19/2020	\$	700.00
8268	05/19/2020	\$	700.00
12435	05/19/2020	\$	700.00
12860	05/19/2020	\$	700.00
12941	05/19/2020	\$	700.00
12350	05/19/2020	\$	700.00
7456	05/19/2020	\$	700.00
12957	05/19/2020	\$	700.00
12976	05/19/2020	\$	700.00
12914	05/19/2020	\$	700.00
11973	05/19/2020	\$	700.00
12374	05/19/2020	\$	700.00
12945	05/19/2020	\$	700.00
12572	05/19/2020	\$	700.00
12063	05/19/2020	\$	700.00
12578	05/19/2020	\$	700.00
10566	05/19/2020	\$	700.00
13010	05/19/2020	\$	700.00
13069	05/19/2020	\$	700.00
12836	05/19/2020	\$	700.00
12858	05/19/2020	\$	700.00

12908	05/19/2020	\$	700.00
12954	05/29/2020	\$	700.00
12632	05/29/2020	\$	700.00
11698	05/29/2020	\$	700.00
12800	05/29/2020	\$	700.00
12922	05/29/2020	\$	700.00
12894	05/29/2020	\$	700.00
12801	05/29/2020	\$	700.00
12759	05/29/2020	\$	700.00
12470	05/29/2020	\$	700.00
12833	05/29/2020	\$	700.00
12679	05/29/2020	\$	700.00
12916	05/29/2020	\$	700.00
12917	05/29/2020	\$	700.00
12812	05/29/2020	\$	700.00
13039	05/29/2020	\$	700.00
12881	05/29/2020	\$	700.00
13024	05/29/2020	\$	700.00
8644	05/29/2020	\$	700.00
13047	06/04/2020	\$	700.00
12988	06/04/2020	\$	700.00
13080	06/04/2020	\$	700.00
12589	06/04/2020	\$	700.00
12163	06/04/2020	\$	700.00
12964	06/04/2020	\$	700.00
12999	06/04/2020	\$	700.00
12581	06/04/2020	\$	700.00
12443	06/04/2020	\$	700.00
12719	06/04/2020	\$	700.00
12041	06/15/2020	\$	700.00
13087	06/15/2020	\$	700.00
12932	06/15/2020	\$	700.00
13034	06/15/2020	\$	700.00
13093	06/15/2020	\$	700.00
11589	06/15/2020	\$	700.00
12913	06/15/2020	\$	700.00
13071	06/15/2020	\$	700.00
12911	06/15/2020	\$	700.00
12968	07/15/2020	\$	700.00
13094	07/15/2020	\$	700.00
12856	07/15/2020	\$	700.00
13057	07/15/2020	\$	700.00
12974	07/15/2020	\$	700.00
12919	08/04/2020	\$	700.00
12768	08/04/2020	\$	700.00
12920	08/04/2020	\$	700.00
13017	08/07/2020	\$	700.00

12829	08/07/2020	\$	700.00
10752	08/07/2020	\$	700.00
13107	09/16/2020	\$	700.00
12513	09/16/2020	\$	700.00
12995	09/16/2020	\$	700.00
12867	09/16/2020	\$	700.00
12794	09/16/2020	\$	700.00
12902	09/16/2020	\$	700.00
12754	09/16/2020	\$	700.00
12512	09/16/2020	\$	700.00
12958	10/02/2020	\$	700.00
12551	10/02/2020	\$	700.00
Totals		\$	170,800.00

4. The estimated total number of students at the institution eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 and thus eligible to receive Emergency Financial Aid Grants to students under Section 18004(a)(1) of the CARES Act.

The estimated total number of students eligible to receive grant is 324.

5. The total number of students who have received an Emergency Financial Aid Grant to students under Section 18004(a)(1) of the CARES Act.

The total number of students who have received Emergency Financial Aid Grant to date is 244.

6. The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under Section 18004(a)(1) of the CARES Act.

Students who are or could be eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965, as amended (HEA), may receive emergency financial aid grants.

Student grant amount is determined by evenly dividing the total school grant by the number of students who are or could be eligible to participate in Title IV funding.

Advance Beauty College students with active enrollments, including those on leave of absence during the time period of 3/13/20-5/13/20 are selected and determined if eligible based on Section 484 in Title IV of the Higher Education Act of 1965, as amended (HEA). The estimated total number of eligible students is used in the calculation of grant amount to be distributed to each student.

7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

5/18/2020

Dear Student:

It is with great pleasure that Advance Beauty College would like to inform you of grant funds available through The CARES Act Higher Education Emergency Relief Fund (HEERF). The U.S. Department of Education has made available this emergency relief fund to provide aid to our campus based students whose lives have been disrupted by the COVID-19 virus.

Only students who are or could be eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965, as amended (HEA), may receive emergency financial aid grants.

Grant funds will be evenly distributed to the total number of eligible students. This award will not affect your financial aid package. We know these are trying times and this pandemic has impacted us all in many ways and hope that these funds will ease your financial burden slightly. We look forward to continue serving you as you pursue your educational training at Advance Beauty College! Stay healthy and safe during these unprecedented times!

The Financial Aid Office is pleased to award you \$700 to assist with any unforeseen hardship you may be experiencing due to the current health crisis.

Sincerely,

Advance Beauty College